# In the United States Court of Federal Claims OFFICE OF SPECIAL MASTERS

No. 22-486V

Filed: August 18, 2023

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WEIWEI DONG og I VIANCI I KONG	*
WEIWEI DONG and XIANGLI KONG,	*
on behalf of V.K., a minor child,	*
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Petitioners,	*
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V.	*
	*
SECRETARY OF HEALTH AND	*
HUMAN SERVICES,	* *
	т *
Desmandant	*
Respondent.	*
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*Diana Stadelnikas*, Maglio Christopher & Toale, Sarasota, FL, for Petitioners *Sarah Rifkin*, U.S. Department of Justice, Washington, DC, for Respondent

#### **DECISION ON JOINT STIPULATION**<sup>1</sup>

On April 29, 2022, Weiwei Dong and Xiangli Kong ("Petitioners") filed a petition, seeking compensation under the National Vaccine Injury Compensation Program ("the Vaccine Program").<sup>2</sup> Pet., ECF No. 1. Petitioners allege their son, V.K., suffered from immune thrombocytopenia purpura ("ITP") as a result of the diphtheria, tetanus, and acellular pertussis ("DTaP") vaccination he received on August 4, 2020. *See* Stipulation ¶ 2, 4, dated August 18, 2023 (ECF No. 28); *see also* Petition.

<sup>&</sup>lt;sup>1</sup> Because this Decision contains a reasoned explanation for the action in this case, it must be made publicly accessible and will be posted on the United States Court of Federal Claims' website, and/or at https://www.govinfo.gov/app/collection/uscourts/national/cofc, in accordance with the E-Government Act of 2002. 44 U.S.C. § 3501 note (2018) (Federal Management and Promotion of Electronic Government Services). **This means the Decision will be available to anyone with access to the internet.** In accordance with Vaccine Rule 18(b), Petitioners have 14 days to identify and move to redact medical or other information, the disclosure of which would constitute an unwarranted invasion of privacy. If, upon review, I agree that the identified material fits within this definition, I will redact such material from public access.

<sup>&</sup>lt;sup>2</sup> The Vaccine Program comprises Part 2 of the National Childhood Vaccine Injury Act of 1986, Pub. L No. 99-660, 100 Stat. 3755 (codified as amended at 42 U.S.C. §§ 300aa-10–34 (2012)) (hereinafter "Vaccine Act" or "the Act"). All subsequent references to sections of the Vaccine Act shall be to the pertinent subparagraph of 42 U.S.C. § 300aa.

Respondent denies "that the [vaccine] caused V.K.'s alleged ITP, or any other injury, and denies that his current condition is a sequela of a vaccine-related injury." *See* Stipulation ¶ 6. Nonetheless, both parties, while maintaining their above-stated positions, agreed in a stipulation filed August 18, 2023 that the issues before them can be settled and that a decision should be entered awarding Petitioners compensation.

I have reviewed the file, and based upon that review, I conclude that the parties' stipulation is reasonable. I therefore adopt it as my decision in awarding damages on the terms set forth therein.

The stipulation awards:

# 1. A Lump Sum

A lump sum of \$8,000.00, which amount represents reimbursement of a lien for services rendered by the Alaska Department of Health to V.K., in the form of a check payable jointly to Petitioners and the State of Alaska:

State of Alaska Department of Law Attn: Judy M. Kuipers 1031 West 4<sup>th</sup> Avenue, Suite 200 Anchorage, AK 99501 Medicaid Lien # 2021104847 Alaska Tax ID No.: 92-6001185

Petitioners agree to endorse this check to the State of Alaska.

# 2. An Annuity

The remainder of damages shall be paid in the form of an annuity contract, which shall be purchased as soon as practicable after entry of judgment. Accordingly, pursuant to 42 U.S.C. § 300aa-15(f)(4), I order Respondent to purchase, and take ownership of, an annuity contract in the amount of \$27,000.00,<sup>3</sup> as described below:

Each Life Insurance Company must meet the following criteria:

- 1. Have a minimum of \$250,000,000 of capital and surplus, exclusive of any mandatory security valuation reserve; and
- 2. have one of the following ratings from two of the following rating organizations:
  - a) A.M. Best Company: A++, A+, A+g, A+p, A+r, or A+s;
  - b) Moody's Investor Service Claims Paying Rating: Aa3, Aa2, Aa1, or Aaa;

<sup>&</sup>lt;sup>3</sup> To satisfy the conditions set forth herein, in Respondent's discretion, Respondent may purchase one or more annuity contracts from one or more life insurance companies.

- c) Standard and Poor's Corporation Insurer Claims-Paying Ability Rating: AA-, AA, AA+, or AAA;
- d) Fitch Credit Rating Company, Insurance Company Claims-Paying Ability Rating: AA-, AA, AA+, or AAA.

The Secretary of Health and Human Services shall purchase an annuity contract from the Life Insurance Company for the benefit of V.K., pursuant to which the Life Insurance Company will agree to make payments periodically to V.K. as described in paragraph 10 of the attached Stipulation. This award represents compensation for all damages that would be available under 42 U.S.C. § 300aa-15(a).

I approve a Vaccine Program award in the requested amount set forth above to be made to Petitioners. In the absence of a motion for review filed pursuant to RCFC Appendix B, the Clerk of the Court is directed to enter judgment herewith.<sup>4</sup>

### IT IS SO ORDERED.

<u>s/ Katherine E. Oler</u> Katherine E. Oler Special Master

<sup>&</sup>lt;sup>4</sup> Pursuant to Vaccine Rule 11(a), the parties may expedite entry of judgment by jointly filing notice renouncing their right to seek review.

#### IN THE UNITED STATES COURT OF FEDERAL CLAIMS OFFICE OF SPECIAL MASTERS

WEIWEI DONG and XIANGLI KONG on behalf of V.K., a minor child,

Petitioner,

v.

SECRETARY OF HEALTH AND HUMAN SERVICES,

Respondent.

No. 22-486V (ECF) Special Master Oler

#### **STIPULATION**

The parties hereby stipulate to the following matters:

1. On behalf of their son, V.K., petitioners filed a petition for vaccine compensation

under the National Vaccine Injury Compensation Program, 42 U.S.C. § 300aa-10 to -34 (the

"Vaccine Program"). The petition seeks compensation for injuries allegedly related to V.K.'s

receipt of the diphtheria, tetanus, and acellular pertussis ("DTaP") vaccine, which is contained in

the Vaccine Injury Table (the "Table"), 42 C.F.R. § 100.3(a).

2. V.K. received hepatitis A ("Hep A") and DTaP vaccines on August 4, 2020.

- 3. The vaccines were administered within the United States.
- 4. Petitioners allege that V.K. suffered from immune thrombocytopenia purpura

("ITP") as a result of the DTaP vaccine and that he experienced the residual effects of this injury for more than six months.

5. Petitioners represent that there has been no prior award or settlement of a civil action for damages on behalf of V.K. as a result of his condition.

6. Respondent denies that the vaccines caused V.K.'s alleged ITP, or any other injury,

and denies that his current condition is a sequela of a vaccine-related injury.

7. Maintaining their above-stated positions, the parties nevertheless now agree that the

issues between them shall be settled and that a decision should be entered awarding the

compensation described in paragraph 8 of this Stipulation.

8. As soon as practicable after an entry of judgment reflecting a decision consistent

with the terms of this Stipulation, and after petitioners have filed an election to receive

compensation pursuant to 42 U.S.C. § 300aa-21(a)(1), the Secretary of Health and Human

Services will issue the following vaccine compensation payments:

a. A lump sum of \$8,000.00, representing reimbursement of a lien for services rendered by the Alaska Department of Health to V.K., in the form of a check payable jointly to petitioners and the State of Alaska:

State of Alaska Department of Law Attn: Judy M. Kuipers 1031 West 4<sup>th</sup> Avenue, Suite 200 Anchorage, AK 99501 Medicaid Lien # 2021104847 Alaska Tax ID No.: 92-6001185

b. An amount of \$27,000.00 to purchase the annuity contract described in paragraph 10 below, paid to the life insurance company from which the annuity will be purchased (the "Life Insurance Company").

These amounts represent compensation for all damages that would be available under 42 U.S.C.

§300aa-15(a).

9. The Life Insurance Company must have a minimum of \$250,000,000.00 capital and

surplus, exclusive of any mandatory security valuation reserve. The Life Insurance Company

must have one of the following ratings from two of the following rating organizations:

a. A.M. Best Company: A++, A+, A+g, A+p, A+r, or A+s;

- b. Moody's Investor Service Claims Paying Rating: Aa3, Aa2, Aa1, or Aaa;
- c. Standard and Poor's Corporation Insurer Claims-Paying Ability Rating: AA-, AA, AA+, or AAA;
- d. Fitch Credit Rating Company, Insurance Company Claims Paying Ability Rating: AA-, AA, AA+, or AAA.

10. The Secretary of Health and Human Services agrees to purchase an annuity contract from the Life Insurance Company for the benefit of V.K., pursuant to which the Life Insurance Company will agree to make certain lump sum payments to V.K. for all items of compensation that would be available under 42 U.S.C. §300aa-15(a), as follows:

- a. A certain lump sum of \$25,178.11 payable on April 30, 2037.
- b. A certain lump sum of \$29,087.31 payable on April 30, 2040.

The purchase price of the annuity described in this paragraph shall neither be greater than nor less than \$27,000.00. In the event that the cost of the annuity set forth above varies from \$27,000.00, the certain lump sum payable on April 30, 2040, shall be adjusted to ensure that the total cost of the annuity is neither less nor greater than \$27,000.00. The certain lump sum payments provided herein shall be made to V.K. as set forth above. Should V.K. predecease payment of any of the certain lump sum payments set forth above, said payments shall be made to his estate. However, written notice to the Secretary of Health and Human Services and the Life Insurance Company shall be provided within twenty (20) days of V.K.'s death.

11. The annuity contract will be owned solely and exclusively by the Secretary of Health and Human Services and will be purchased as soon as practicable following the entry of a judgment in conformity with this Stipulation. The parties stipulate and agree that the Secretary of Health and Human Services and the United States of America are not responsible for the payment of any sums other than the amounts set forth in paragraph 8 herein and the amounts

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awarded pursuant to paragraph 12 herein, and that they do not guarantee or insure any of the future annuity payments. Upon the purchase of the annuity contract, the Secretary of Health and Human Services and the United States of America are released from any and all obligations with respect to future annuity payments.

12. As soon as practicable after the entry of judgment on entitlement in this case, and after petitioners have filed both a proper and timely election to receive compensation pursuant to 42 U.S.C. § 300aa-21(a)(1), and an application, the parties will submit to further proceedings before the special master to award reasonable attorneys' fees and costs incurred in proceeding upon this petition.

13. Petitioners and their attorney represent that compensation to be provided pursuant to this Stipulation is not for any items or services for which the Program is not primarily liable under 42 U.S.C. § 300aa-15(g), to the extent that payment has been made or can reasonably be expected to be made under any State compensation programs, insurance policies, Federal or State health benefits programs (other than Title XIX of the Social Security Act (42 U.S.C. § 1396 et seq.)), or by entities that provide health services on a pre-paid basis.

14. Payments made pursuant to paragraph 8 and any amounts awarded pursuant to paragraph 12 of this Stipulation will be made in accordance with 42 U.S.C. § 300aa-15(i), subject to the availability of sufficient statutory funds.

15. The parties and their attorneys further agree and stipulate that, except for any award for attorneys' fees and litigation costs, and past unreimbursed expenses, the money provided pursuant to this Stipulation will be used solely for the benefit of V.K. as contemplated by a strict construction of 42 U.S.C. § 300aa-15(a) and (d), and subject to the conditions of 42 U.S.C. § 300aa-15(a) and (d), and subject to the conditions of 42 U.S.C.

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16. Petitioners represent that they presently are, or if necessary will become, duly authorized to serve as guardians of V.K.'s estate under the laws of Michigan.

17. In return for the payments described in paragraphs 8 and 12, petitioners, in their individual capacities and as legal representatives of V.K., on behalf of themselves, V.K., and his heirs, executors, administrators, successors or assigns, does forever irrevocably and unconditionally release, acquit and discharge the United States and the Secretary of Health and Human Services from any and all actions or causes of action (including agreements, judgments, claims, damages, loss of services, expenses and all demands of whatever kind or nature) that have been brought, could have been brought, or could be timely brought in the Court of Federal Claims, under the National Vaccine Injury Compensation Program, 42 U.S.C. § 300 aa-10 et seq., on account of, or in any way growing out of, any and all known or unknown, suspected or unsuspected personal injuries to or death of V.K. resulting from, or alleged to have resulted from, the DTaP and/or Hep A vaccinations administered on August 4, 2020, as alleged in a petition for vaccine compensation filed on or about April 29, 2022, in the United States Court of Federal Claims as petition No. 22-486V.

18. If V.K. should die prior to entry of judgment, this agreement shall be voidable upon proper notice to the Court on behalf of either or both of the parties.

19. If the special master fails to issue a decision in complete conformity with the terms of this Stipulation or if the Court of Federal Claims fails to enter judgment in conformity with a decision that is in complete conformity with the terms of this Stipulation, then the parties' settlement and this Stipulation shall be voidable at the sole discretion of either party.

20. This Stipulation expresses a full and complete negotiated settlement of liability and damages claimed under the National Childhood Vaccine Injury Act of 1986, as amended, except

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as otherwise noted in paragraph 12 above. There is absolutely no agreement on the part of the parties hereto to make any payment or to do any act or thing other than is herein expressly stated and clearly agreed to. The parties further agree and understand that the award described in this Stipulation may reflect a compromise of the parties' respective positions as to liability and/or amount of damages, and further, that a change in the nature of the injury or condition or in the items of compensation sought, is not grounds to modify or revise this agreement.

21. Petitioners hereby authorize respondent to disclose documents filed by petitioners in this case consistent with the Privacy Act and the routine uses described in the National Vaccine Injury Compensation Program System of Records, No. 09-15-0056.

22. This Stipulation shall not be construed as an admission by the United States or the Secretary of Health and Human Services that the DTaP vaccine or Hep A vaccine caused V.K. to suffer ITP or any other injury or his current disability, or that V.K. suffered an injury contained in the Vaccine Injury Table.

23. All rights and obligations of petitioners hereunder shall apply equally to petitioners' heirs, executors, administrators, successors, and/or assigns.

#### END OF STIPULATION

Respectfully submitted,

#### **PETITIONER:**

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WEIWEI DONG

#### **PETITIONER:**

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XIANGLI KONG

# ATTORNEY OF RECORD FOR PETITIONERS:

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#### AUTHORIZED REPRESENTATIVE OF THE SECRETARY OF HEALTH AND HUMAN SERVICES:

Henry P. by Mcmillan -S3

Digitally signed by Henry P. Mcmillan -53 Date: 2023.07.24 16:12:41 -04'00'

CDR GEORGE REED GRIMES, MD, MPH Director, Division of Injury Compensation Programs Health Systems Bureau Health Resources and Services Administration U.S. Department of Health and Human Services 5600 Fishers Lane, 08N146B Rockville, MD 20857

Dated: 8/17/23

#### AUTHORIZED REPRESENTATIVE OF THE ATTORNEY GENERAL:

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HEATHER L. PEARLMAN Deputy Director Torts Branch Civil Division U.S. Department of Justice P.O. Box 146 Benjamin Franklin Station Washington, DC 20044-0146

#### ATTORNEY OF RECORD FOR RESPONDENT:

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