# In the United States Court of Federal Claims

**OFFICE OF SPECIAL MASTERS** 

No. 13-805V Filed: September 17, 2015 Not for Publication

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PATRICIA OKAI, Petitioner, v.	*	
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	*	
	*	Damages decision based on
	*	stipulation; influenza
	*	("flu") vaccine; Guillain-Barré
	*	syndrome ("GBS")
	*	-
SECRETARY OF HEALTH	*	
AND HUMAN SERVICES,	*	
	*	
Respondent.	*	
	*	
****	****	

<u>Edward M. Kraus</u>, Chicago, Illinois, for petitioner. <u>Ryan Daniel Pyles</u>, Washington, DC, for respondent.

## MILLMAN, Special Master

### **DECISION AWARDING DAMAGES<sup>1</sup>**

On September 8, 2015, the parties filed the attached stipulation in which they agreed to settle this case and described the settlement terms. Petitioner alleges that her September 25, 2012 influenza ("flu") vaccine caused her to develop Guillain-Barré syndrome ("GBS") and related sequelae. Petitioner further alleges that she suffered the residual effects of this injury for more than six months. Respondent denies that the flu vaccine caused petitioner's GBS or any

<sup>&</sup>lt;sup>1</sup> Because this unpublished decision contains a reasoned explanation for the special master's action in this case, the special master intends to post this unpublished decision on the United States Court of Federal Claims's website, in accordance with the E-Government Act of 2002, Pub. L. No. 107-347, 116 Stat. 2899, 2913 (Dec. 17, 2002). Vaccine Rule 18(b) states that all decisions of the special masters will be made available to the public unless they contain trade secrets or commercial or financial information that is privileged and confidential, or medical or similar information whose disclosure would constitute a clearly unwarranted invasion of privacy. When such a decision is filed, petitioner has 14 days to identify and move to delete such information prior to the document's disclosure. If the special master, upon review, agrees that the identified material fits within the banned categories listed above, the special master shall delete such material from public access.

other injury. Nonetheless, the parties agreed to resolve this matter informally.

The undersigned finds the terms of the stipulation to be reasonable. The court hereby adopts the parties' said stipulation, attached hereto, and awards compensation in the amount and on the terms set forth therein. Pursuant to the stipulation, the court awards:

- a. an amount sufficient to purchase the annuity contract described in paragraph 10 of the parties' attached stipulation, paid to the life insurance company from which the annuity will be purchased;
- b. a lump sum of **\$227,333.02**, representing reimbursement of a State of Illinois Medicaid lien. The award shall be in the form of a check for **\$227,333.02** made payable jointly to petitioner and

Illinois Dep't of HFS Bureau of Collections Technical Recovery Section 401 S. Clinton, 5th Floor Chicago, Illinois 60607-3800 Case No. 93-200-0000M40345

Petitioner agrees to endorse this payment to the State; and

c. a lump sum of **\$565,627.00** representing compensation for all remaining damages that would be available under 42 U.S.C. § 300aa-15(a), including \$40,627.00 for future life care plan expenses for the first year following the entry of judgment. The award shall be in the form of a check for **\$565,627.00** made payable to petitioner.

In the absence of a motion for review filed pursuant to RCFC Appendix B, the clerk of the court is directed to enter judgment herewith.<sup>2</sup>

#### IT IS SO ORDERED.

Dated: September 17, 2015

<u>s/ Laura D. Millman</u> Laura D. Millman Special Master

 $<sup>^{2}</sup>$  Pursuant to Vaccine Rule 11(a), entry of judgment can be expedited by each party, either separately or jointly, filing a notice renouncing the right to seek review.

#### IN THE UNITED STATES COURT OF FEDERAL CLAIMS OFFICE OF SPECIAL MASTERS

#### PATRICIA OKAI,

Petitioner,

v.

SECRETARY OF HEALTH AND HUMAN SERVICES,

No. 13-805V Special Master Laura D. Millman ECF

Respondent.

#### **STIPULATION**

The parties hereby stipulate to the following matters:

1. Patricia Okai ("petitioner") filed a petition for vaccine compensation under the National Vaccine Injury Compensation Program, 42 U.S.C. § 300aa-10 to 34 (the "Vaccine Program"). The petition seeks compensation for injuries allegedly related to petitioner's receipt of the influenza ("flu") vaccine, which vaccine is contained in the Vaccine Injury Table (the "Table"), 42 C.F.R. § 100.3(a).

2. Petitioner received the flu vaccine on or about September 25, 2012.

3. The vaccine was administered within the United States.

4. Petitioner alleges that the vaccine caused her to develop Guillain-Barré Syndrome ("GBS") and related sequelae, and that she experienced residual effects of her injury for more than six months.

5. Petitioner represents that there has been no prior award or settlement of a civil action for damages as a result of her condition.

6. Respondent denies that the vaccine is the cause of petitioner's GBS and/or any other injury.

7. Maintaining their above-stated positions, the parties nevertheless now agree that the

issues between them shall be settled and that a decision should be entered awarding the

compensation described in paragraph 8 of this Stipulation.

8. As soon as practicable after an entry of judgment reflecting a decision consistent with

the terms of this Stipulation, and after petitioner has filed an election to receive compensation

pursuant to 42 U.S.C. § 300aa-21(a)(1), the Secretary of Health and Human Services will issue

the following vaccine compensation payments:

a. An amount sufficient to purchase the annuity contract described in paragraph 10 below, paid to the life insurance company from which the annuity will be purchased (the "Life Insurance Company");<sup>1</sup>

b. A lump sum of **\$227,333.02**, which amount represents reimbursement of a State of Illinois Medicaid lien, in the form of a check payable jointly to petitioner and

Illinois Dep't of HFS Bureau of Collections Technical Recovery Section 401 S. Clinton, 5th Floor Chicago, Illinois 60607-3800 Case No. 93-200-0000M40345.

Petitioner agrees to endorse this payment to the State; and

c. A lump sum of 565,627.00 in the form of a check payable to petitioner. This amount represents all remaining compensation for damages that would be available under 42 U.S.C. § 300aa-15(a).<sup>2</sup>

9. The Life Insurance Company must have a minimum of \$250,000,000 capital and

surplus, exclusive of any mandatory security valuation reserve. The Life Insurance Company

must have one of the following ratings from two of the following rating organizations:

<sup>&</sup>lt;sup>1</sup> Notwithstanding references herein to "the Life Insurance Company" or "the annuity contract," to satisfy the conditions set forth herein, in respondent's sole and absolute discretion, respondent may purchase one or more annuity contracts from one or more life insurance companies.

 $<sup>^2</sup>$  This sum includes \$40,627.00 for future life care plan expenses for the first year following the entry of judgment.

- a. A.M. Best Company: A++, A+, A+g, A+p, A+r, or A+s;
- b. Moody's Investor Service Claims Paying Rating: Aa3, Aa2, Aa1, or Aaa;
- c. Standard and Poor's Corporation Insurer Claims-Paying Ability Rating: AA-, AA, AA+, or AAA;
- d. Fitch Credit Rating Company, Insurance Company Claims Paying Ability Rating: AA-, AA, AA+, or AAA.
- 10. The Secretary of Health and Human Services agrees to purchase an annuity contract

from the Life Insurance Company for the benefit of petitioner, pursuant to which the Life

Insurance Company will agree to make payments periodically to petitioner for the following

items of compensation:

a. For future medical insurance expenses, beginning on the first anniversary of the date of judgment, an annual amount of \$6,370.80 to be paid up to the anniversary of the date of judgment in year 2017, then beginning on the anniversary of the date of judgment in year 2017 an annual amount of \$6,298.80 to be paid for the remainder of petitioner's life, all amounts increasing at the rate of four percent (4%), compounded annually from the date of judgment;

b. For future ancillary services, beginning on the first anniversary of the date of judgment, an annual amount of \$960.00 to be paid for the remainder of petitioner's life, all amounts increasing at the rate of four percent (4%), compounded annually from the date of judgment;

c. For future equipment, beginning on the first anniversary of the date of judgment, an annual amount of \$26.31 to be paid up to the anniversary of the date of judgment in year 2024, then beginning on the anniversary of the date of judgment in year 2024 an annual amount of \$91.91 to be paid up to the anniversary of the date of judgment in year 2025, then beginning on the anniversary of the date of judgment in year 2025, then beginning on the anniversary of the date of judgment in year 2025, then beginning on the anniversary of the date of judgment in year 2025, an annual amount of \$32.87 to be paid for the remainder of petitioner's life, all amounts increasing at the rate of four percent (4%), compounded annually from the date of judgment;

d. For future medications, beginning on the first anniversary of the date of judgment, an annual amount of \$8.46 to be paid for the remainder of petitioner's life, all amounts increasing at the rate of four percent (4%), compounded annually from the date of judgment;

e. For future home services, beginning on the first anniversary of the date of judgment, an annual amount of \$32,120.00 to be paid up to the anniversary of the date of judgment in year 2031, then beginning on the anniversary of the date of judgment in year 2031 an annual amount of \$43,560.00 to be paid for the remainder of petitioner's life, all amounts increasing at the rate of four percent (4%), compounded annually from the date of judgment; and

f. For future transportation and home modification expense, beginning on the first anniversary of the date of judgment, an annual amount of \$218.19 to be paid up to the anniversary of the date of judgment in year 2017, then beginning on the anniversary of the date of judgment in year 2017 an annual amount of \$28.67 to be paid up to the anniversary of the date of judgment in year 2022, then beginning on the anniversary of the date of judgment in year 2022, an annual amount of \$158.57 to be paid up to the anniversary of the date of judgment in year 2023, then beginning on the anniversary of the date of judgment in year 2023, then beginning on the anniversary of the date of judgment in amount of \$41.66 to be paid for the remainder of petitioner's life, all amounts increasing at the rate of four percent (4%), compounded annually from the date of judgment.

At the sole discretion of the Secretary of Health and Human Services, the periodic payments may be provided to petitioner in monthly, quarterly, annual or other installments. The "annual amounts" set forth above describe only the total yearly sum to be paid to petitioner and do not require that the payment be made in one annual installment. Petitioner will continue to receive the annuity payments from the Life Insurance Company only so long as petitioner is alive at the time that a particular payment is due. Written notice to the Secretary of Health and Human Services and the Life Insurance Company shall be provided within twenty (20) days of petitioner's death.

11. The annuity contract will be owned solely and exclusively by the Secretary of Health and Human Services and will be purchased as soon as practicable following the entry of a judgment in conformity with this Stipulation. The parties stipulate and agree that the Secretary of Health and Human Services and the United States of America are not responsible for the payment of any sums other than the amounts set forth in paragraph 8 herein, and that they do not guarantee or insure any of the future annuity payments. Upon the purchase of the annuity contract, the Secretary of Health and Human Services and the United States of America are released from any and all obligations with respect to future annuity payments.

12. As soon as practicable after entry of judgment and after petitioner has filed a proper and timely election to receive compensation pursuant to 42 U.S.C. § 300aa-21(a)(1), and an

application, the parties will submit to further proceedings before the special master to award reasonable attorney's fees and costs incurred in proceeding upon this petition.

13. Petitioner and her attorney represent that they have identified to respondent all known sources of payment for items or services for which the Program is not primarily liable under 42 U.S.C. § 300aa-15(g), including State compensation programs, insurance policies, Federal or State health benefits programs (other than Title XIX of the Social Security Act (42 U.S.C. § 1396 et seq.)), or entities that provide health services on a pre-paid basis.

14. Payments made pursuant to paragraph 8 of this Stipulation and any amounts awarded pursuant to paragraph 12 of this Stipulation will be made in accordance with 42 U.S.C. § 300aa-15(i), subject to the availability of sufficient statutory funds.

15. The parties and their attorneys further agree and stipulate that, except for any award for attorneys' fees and litigation costs, and past unreimbursable expenses, the money provided pursuant to this Stipulation, either immediately or as part of the annuity contract, will be used solely for the benefit of petitioner as contemplated by a strict construction of 42 U.S.C. §300aa-15(a) and (d), and subject to the conditions of 42 U.S.C. § 300aa-15(g) and (h).

16. In return for the payments described in paragraphs 8 and 12, petitioner, in her individual capacity and on behalf of her heirs, executors, administrators, successors and/or assigns, does forever irrevocably and unconditionally release, acquit and discharge the United States and the Secretary of Health and Human Services from any and all actions or causes of action (including agreements, judgments, claims, damages, loss of services, expenses and all demands of whatever kind or nature) that have been brought, could have been brought, or could be timely brought in the Court of Federal Claims, under the National Vaccine Injury Compensation Program, 42 U.S.C. § 300aa-10 et seq., on account of, or in any way growing out of, any and all known or unknown, suspected or unsuspected personal injuries to or death of

petitioner resulting from, or alleged to have resulted from, the influenza vaccination administered on or about September 25, 2012, as alleged by petitioner in a petition for vaccine compensation filed on or about October 16, 2013, in the United States Court of Federal Claims as petition No. 13-805V.

17. If petitioner should die prior to entry of judgment, this agreement shall be voidable upon proper notice to the Court on behalf of either or both of the parties.

18. If the special master fails to issue a decision in complete conformity with the terms of this Stipulation or if the Court of Federal Claims fails to enter judgment in conformity with a decision that is in complete conformity with the terms of this Stipulation, then the parties' settlement and this Stipulation shall be voidable at the sole discretion of either party.

19. This Stipulation expresses a full and complete negotiated settlement of liability and damages claimed under the National Childhood Vaccine Injury Act of 1986, as amended, except as otherwise noted in paragraph 12 above. There is absolutely no agreement on the part of the parties hereto to make any payment or to do any act or thing other than is herein expressly stated and clearly agreed to. The parties further agree and understand that the award described in this Stipulation may reflect a compromise of the parties' respective positions as to liability and/or amount of damages, and further, that a change in the nature of the injury or condition or in the items of compensation sought, is not grounds to modify or revise this agreement.

20. Petitioner hereby authorizes respondent to disclose documents filed by petitioner in this case consistent with the Privacy Act and the routine uses described in the National Vaccine Injury Compensation Program System of Records, No. 09-15-0056.

21. This Stipulation shall not be construed as an admission by the United States or the Secretary of Health and Human Services that the flu vaccine caused petitioner's GBS and/or any other injury.

22. All rights and obligations of petitioner hereunder shall apply equally to petitioner's heirs, executors, administrators, successors, and/or assigns.

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END OF STIPULATION		

Respectfully submitted,

PETITIONER:

PATRICIA OKAI

## ATTORNEY OF RECORD FOR PETITIONER:

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EDWARD KRAUS LAW OFFICES CHICAGO-KENT COLLEGE OF LAW 565 West Adams St., Ste. 600 Chicago, IL 60661 (312) 906-5072

AUTHORIZED REPRESENTATIVE OF THE SECRETARY OF HEALTH AND HUMAN SERVICES:

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A. MELISSA HOUSTON, M.D., M.P.H, FAAP Director, Division of Injury Compensation Programs Healthcare Systems Bureau U.S. Department of Health and Human Services 5600 Fishers Lane Parklawn Building, Mail Stop 11C-26 Rockville, MD 20857

September 8, 2015 Dated:

#### AUTHORIZED REPRESENTATIVE OF THE ATTORNEY GENERAL:

VINCENT / MATANOSKI Deputy Director Torts Branch Civil Division U.S. Department of Justice P.O. Box 146 Benjamin Franklin Station Washington, DC 20044-0146

#### ATTORNEY OF RECORD FOR RESPONDENT:

RYAN D. PYLES

Trial Attorney Torts Branch Civil Division U.S. Department of Justice P.O. Box 146 Benjamin Franklin Station Washington, DC 20044-0146 (202) 616-9847